

ARIZONA

*American Society of
Home Inspectors
Arizona Chapter*



Standards of Professional Practice

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Adopted by **AZ ASHI** Effective January 1, 2002

and

Code of Professional Conduct For Arizona Home Inspectors

Extracted from:

Arizona Board of Technical Registration
Rules of Professional Conduct R4-30-301

STANDARDS OF PROFESSIONAL PRACTICE

1 SYSTEM: INTRODUCTION

- 1.1 These Standards define the practice of Home Inspection in the State of Arizona.**
- 1.2 These Standards of Practice:**
- A. Provide inspection guidelines.
 - B. Make public the services provided by private fee-paid inspectors.

2 SYSTEM: PURPOSE & SCOPE

- 2.1 Inspections performed to these standards shall provide the client with a better understanding of the property conditions, as observed at the time of the inspection.**
- 2.2 Inspectors shall:**
- A. Before the inspection report is delivered, enter into a written agreement with the client or their authorized agent that includes:
 - 1. The purpose of the inspection.
 - 2. The date of the inspection.
 - 3. The name, address and the certification number of the inspector.
 - 4. The fee for services.
 - 5. A statement that the inspection is performed in accordance with these Standards.
 - 6. Limitations or exclusions of systems or components inspected.
 - B. Observe readily accessible installed systems and components listed in these Standards.
 - C. Submit a written report to the client which shall:
 - 1. Describe systems and components identified in sections 4-12 of these Standards.
 - 2. State which systems and components designated for inspection in these Standards have been inspected and any systems and components in these Standards which were present at the time of the inspection and were not inspected and a reason why they were not inspected.
 - 3. State any systems and components so inspected which were found to be in need of immediate major repair and any recommendations to correct, monitor, or evaluate by appropriate persons.
- 2.3 These Standards are not intended to limit inspectors from:**
- A. Reporting observations and conditions in addition to those required in Section 2.2.
 - B. Excluding systems and components from the inspection if requested by the client.

3.1 General limitations:

- A. Inspections done in accordance with these Standards are visual, not technically exhaustive and will not identify concealed conditions or latent defects.
- B. These Standards are applicable to buildings with four or less dwelling units and their garages or carports.

3.2 General exclusions:

- A. Inspectors are NOT required to report on:
 - 1. Life expectancy of any component or system.
 - 2. The causes of the need for a major repair.
 - 3. The methods, materials and costs of corrections.
 - 4. The suitability of the property for any specialized use.
 - 5. Compliance or non-compliance with applicable regulatory requirements
 - 6. The market value of the property or its marketability.
 - 7. The advisability or inadvisability of purchase of the property.
 - 8. Any component or system which was not observed.
 - 9. The presence or absence of pests such as wood damaging organisms, rodents, or insects.
 - 10. Cosmetic items, underground items, or items not permanently installed.

B. Inspectors are not required to:

- 1. Offer warranties or guarantees of any kind.
- 2. Calculate the strength, adequacy, or efficiency of any system or component.
- 3. Enter any area or perform any procedure which may damage the property or its components or be dangerous to the inspector or other persons.
- 4. Operate any system or component which is shut down or otherwise inoperable.
- 5. Operate any system or component which does not respond to normal operating controls.
- 6. Disturb insulation, move personal items, furniture, equipment, plant life, soil, snow, ice, or debris which obstructs access or visibility.
- 7. Determine the presence or absence of any suspected hazardous substance including but not limited to toxins, fungus, molds, mold spores, carcinogens, noise, contaminants in soil, water, and air.
- 8. Determine the effectiveness of any system installed to control or remove suspected hazardous substances.

3 SYSTEM: GENERAL LIMITATIONS & EXCLUSIONS

- not limited
9. Predict future conditions, including but not limited to failure of components.
 10. Project operating costs of components.
 11. Evaluate acoustical characteristics of any system or component.

3.3 Limitations and exclusions specific to individual systems are listed in following sections.

4 SYSTEM: STRUCTURAL COMPONENTS

4.1 The inspector shall observe:

- A. Structural components including:**
1. Foundation.
 2. Floors.
 3. Walls.
 4. Columns.
 5. Ceilings.
 6. Roofs.

4.2 The inspector shall:

- A. Describe the type of:**
1. Foundation.
 2. Floor Structure.
 3. Wall Structure.
 4. Columns.
 5. Ceiling Structure.
 6. Roof Structure.
- B. Probe structural components where deterioration is suspected. However, probing is not required when probing would damage any finished surface.**
- C. Enter underfloor crawl spaces and attic spaces except when access is obstructed, when entry could damage the property or when dangerous or adverse situations are suspected.**
- D. Report the methods used to inspect underfloor crawl spaces and attics.**
- E. Report signs of water penetration into the building or signs of abnormal or harmful condensation on building components.**



5 SYSTEM: EXTERIOR

5.1 The inspector shall observe:

- A. Wall cladding, flashings and trim.**
- B. Entryway doors and representative number of windows.**
- C. Garage door operators.**
- D. Decks, balconies, stoops, steps, areaways, and porches including railings.**
- E. Eaves, soffits and facias.**
- F. Vegetation, grading, drainage, driveways, patios, walkways and retaining walls with respect to their effect on the condition of the building.**

5.2 The inspector shall:

- A. Describe wall cladding materials.**
- B. Operate all entryway doors and representative number of windows including garage doors, manually or by using permanently installed controls of any garage door operator.**
- C. Report whether or not any garage door operator will automatically reverse or stop when meeting reasonable resistance during closing.**

5.3 The inspector is not required to observe:

- A. Storm windows, storm doors, screening, shutters, awnings and similar seasonal accessories.**
- B. Fences.**
- C. Safety glazing.**
- D. Garage door operator remote control transmitters.**
- E. Geological conditions.**
- F. Soil conditions.**
- G. Recreational facilities.**
- H. Outbuildings other than garages and carports.**

6 SYSTEM: ROOFING

6.1 The inspector shall observe:

- A. Roof coverings.**
- B. Roof drainage systems.**
- C. Flashings.**
- D. Skylights, chimneys, and roof penetrations.**
- E. Signs of leaks or abnormal condensation on building components.**

6.2 The inspector shall:

- A. Describe the type of roof covering materials.**
- B. Report the methods used to inspect roofing.**

6.3 The inspector is not required to:

- A. Walk on the roofing.**
- B. Observe attached accessories including but not limited to solar systems, antennae, and lightning arresters.**

7 SYSTEM: PLUMBING

7.1 The inspector shall observe:

- A. Interior water supply and distribution system including:**
 1. Piping materials, including supports and insulation.
 2. Fixtures and faucets.
 3. Leaks.
 4. Cross connections.
- B. Interior drain, waste and vent system, including:**
 1. Traps; drain, waste, and vent piping; piping supports and pipe insulation.
 2. Leaks.
 3. Functional drainage.

- C. Hot water systems including:
 1. Water heating equipment.
 2. Normal operating controls.
 3. Automatic safety controls.
 4. Chimneys, flues and vents.
- D. Fuel storage and distribution systems including:
 1. Interior fuel storage equipment, supply piping, venting and supports.
 2. Leaks.
- E. Sump pumps.

7.2 The inspector shall:

- A. Describe:
 1. Water supply and distribution piping materials.
 2. Drain, waste and vent piping materials.
 3. Water heating equipment.
- B. Operate all plumbing fixtures, including their faucets and all exterior faucets attached to the house.

7.3 The inspector is not required to:

- A. State the effectiveness of anti-siphon devices.
- B. Determine whether water supply and waste disposal systems are public or private.
- C. Operate automatic safety controls.
- D. Operate any valve except water closet flush valves, fixture faucets and hose faucets.
- E. Observe:
 1. Water conditioning systems.
 2. Fire and lawn sprinkler systems.
 3. On-site water supply quantity and quality.
 4. On-site waste disposal systems.
 5. Foundation irrigation systems.
 6. Spas, except as to functional flow and functional drainage.

8 SYSTEM: ELECTRICAL

8.1 The inspector shall observe:

- A. Service entrance conductors.
- B. Service equipment, grounding equipment, main overcurrent device, main and distribution panels.
- C. Amperage and voltage ratings of the service.
- D. Branch circuit conductors, their overcurrent devices, and the compatibility of their ampacities and voltages.
- E. The operation of a representative number of installed lighting fixtures, switches and receptacles located inside the house, garage and on its exterior walls.
- F. The polarity and grounding of all receptacles within six feet of interior plumbing fixtures and all receptacles in the garage or carport, and on the exterior of inspected structures.
- G. The operation of ground fault circuit interrupters.

8.2 The inspector shall:

- A. Describe:
 1. Service amperage and voltage.
 2. Service entry conductor materials.
 3. Service type as being overhead or underground.
 4. Location of main and distribution panels.
- B. Report any observed aluminum branch circuit wiring.

8.3 The inspector is not required to:

- A. Insert any tool, probe or testing device inside the panels.
- B. Test or operate any overcurrent device except ground fault interrupters.
- C. Dismantle any electrical device or control other than to remove covers of the main and auxiliary

distribution panels.

D. Observe:

1. Low voltage systems.
2. Smoke detectors.
3. Telephone, security, cable TV, intercoms or other ancillary wiring that is not a part of the primary electrical distribution system.

9 SYSTEM: HEATING

9.1 The inspector shall observe:

- A. Permanently installed heating systems including:
 1. Heating equipment.
 2. Normal operating controls.
 3. Automatic safety controls.
 4. Chimneys, flues and vents.
 5. Solid fuel heating devices.
 6. Heat distribution systems including fans, pumps, ducts and piping, with supports, dampers, insulation, air filters, registers, radiators, fan coil units, convectors.
 7. The presence of an installed heat source in each room.

9.2 The inspector shall:

- A. Describe:
 1. Energy source.
 2. Heating equipment and distribution type.
- B. Operate the systems using normal operating controls.
- C. Open readily openable access panels provided by the manufacturer or installer for routine homeowner maintenance.

9.3 The inspector is not required to:

- A. Operate heating systems when weather conditions or other circumstances may cause equipment damage.
- B. Operate automatic safety controls.
- C. Ignite or extinguish solid fuel fires.
- D. Observe:
 1. The interior of flues.
 2. Fireplace insert flue connections.
 3. Humidifiers.
 4. Electronic air filters.
 5. The uniformity or adequacy of heat supply to the various rooms.

10 SYSTEM: CENTRAL AIR CONDITIONING

10.1 The inspector shall observe:

- A. Central air conditioning including:
 1. Cooling and air handling equipment.
 2. Normal operating controls.
- B. Distribution systems including:
 1. fans, pumps, ducts and piping, with supports, dampers, insulation, air filters, registers, fan coil units.
 2. The presence of an installed cooling source in each room.

10.2 The inspector shall:

- A. Describe:
 1. Energy sources.
 2. Cooling equipment type.
- B. Operate the systems using normal operating controls.
- C. Open readily openable access panels provided by the manufacturer or installer for routine homeowner maintenance.

10.3 The inspector is not required to:

- A. Operate cooling systems when weather conditions or other circumstances may cause equipment damage.
- B. Observe non-central air conditioners.
- C. Observe the uniformity or adequacy of cool-air supply to the various rooms.

11 SYSTEM: INTERIORS

11.1 The inspector shall observe:

- A. Walls, ceiling and floors.
- B. Steps, stairways, balconies and railings.
- C. Counters and a representative number of cabinets.
- D. A representative number of doors and windows.
- E. Separation walls, ceilings, and doors between a dwelling unit and an attached garage or another dwelling unit.
- F. Sumps.

11.2 The inspector shall:

- A. Operate a representative number of primary windows and interior doors.
- B. Report signs of water penetration into the building or signs of abnormal or harmful condensation on building components.

11.3 The inspector is not required to observe:

- A. Paint, wallpaper and other finish treatments on the interior walls, ceilings, and floors.
- B. Carpeting.
- C. Draperies, blinds or other window treatments.
- D. Household appliances.
- E. Recreational facilities or another dwelling unit.

12 SYSTEM: INSULATION & VENTILATION

12.1 The inspector shall observe:

- A. Insulation and vapor retarders in unfinished spaces.
- B. Ventilation of attics and foundation areas.
- C. Kitchen, bathroom, and laundry venting systems.

12.2 The inspector shall describe:

- A. Insulation and vapor retarders in unfinished spaces.
- B. Absence of same in unfinished space at conditioned surfaces.

12.3 The inspector is not required to report on:

- A. Concealed insulation and vapor retarders.
- B. Venting equipment which is integral with household appliances.



CODE OF PROFESSIONAL CONDUCT

For Arizona Home Inspectors

Arizona Home Inspectors shall:

1. not engage in fraud, deceit, misrepresentation or concealment of material facts in advertising, soliciting or providing professional services to members of the public.
2. comply with state, municipal, and county laws, codes, ordinances, and regulations pertaining to practice.
3. not violate any state or federal criminal statute involving dishonesty, fraud, misrepresentation, embezzlement, theft, forgery, perjury, bribery, or breach of fiduciary duty, if the violation is reasonably related to practice.
4. apply the technical knowledge and skill which would be applied by other qualified inspectors who practice the same profession in the same area and at the same time.
5. not accept an assignment if the duty to a client or the public would conflict with the inspector's personal interest or the interest of another client without full disclosure of all material facts of the conflict to each person who might be related to or affected by the inspection in question.
6. not accept compensation for services related to the same inspection from more than one party without making full disclosure to all such parties and obtaining the express written consent of all parties involved.
7. make full disclosure to all parties concerning:
 - a. any transaction involving payments to any person for the purpose of securing a contract, assignment, or engagement, except for actual and substantial technical assistance.
 - b. any monetary, financial, or beneficial interest the inspector may hold in a contracting firm or other entity providing goods or services, other than the inspector's professional services, to an inspection.
8. not solicit, receive, or accept compensation from material, equipment, or other product or services suppliers for specifying or endorsing their products, goods or services to any client or other person without full written disclosure to all parties.
9. conduct home inspections in accordance with the "Standards of Professional Practice" adopted by the Arizona Chapter of the American Society of Home Inspectors, Inc., on September 30, 2001, the provisions of which are incorporated herein by reference and are on file with the Office of the Secretary of State.
10. not pay or receive, directly or indirectly, in full or in part, a commission or compensation as a referral or finder's fee.
11. not perform, or offer to perform, for an additional fee, any repairs to a structure having been inspected by that inspector or the inspector's firm for a period of twenty-four months following the inspection.

Note: This Code of Professional Conduct is extracted from the "Rules of Professional Conduct R4-30-301" of the Arizona Board of Technical Registration. Certified Members of the American Society of Home Inspectors, Inc. (ASHI) and Candidates for Membership in the Society are also required to adhere to the

provisions of the "Code of Ethics" of the Society and others may at their option choose to follow ASHI's Code of Ethics.